

THE HONORABLE ROBERT S. LASNIK

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE

SHANNON SPENCER, individually and on  
behalf of all others similarly situated,

Plaintiff,

v.

BNY MELLON SECURITIES  
CORPORATION, a foreign profit  
corporation; BANK OF NEW YORK  
MELLON, a foreign bank corporation; and  
DOES 1-10,

Defendants.

Case No. 2:23-cv-01767-RSL

**JOINT STATUS REPORT**

Defendants BNY Mellon Securities Corporation and The Bank of New York Mellon, on the one hand, and Plaintiff Shannon Spencer, on the other hand, hereby submit a joint status report regarding the status of mediation. The Parties attended mediation on March 27, 2024 and have agreed to a settlement in principle on an individual basis. Accordingly, the Parties request that the Stay entered on December 6, 2023 (ECF No. 10) remain in place while the Parties finalize the written settlement agreement.

Dated this 3rd day of April, 2024.

**EMERY | REDDY, PLLC**

By: s/ Patrick B. Reddy

Timothy W. Emery, WSBA No. 34078

Patrick B. Reddy, WSBA No. 34092

Paul Cipriani, WSBA No. 59991

600 Stewart Street, Suite 1100

Seattle, WA 98101

Phone: (206) 442-9106

Email: [emeryt@emeryreddy.com](mailto:emeryt@emeryreddy.com)

[redryp@emeryreddy.com](mailto:redryp@emeryreddy.com)

[paul@emeryreddy.com](mailto:paul@emeryreddy.com)

*Attorneys for Plaintiff*

**MORGAN, LEWIS & BOCKIUS LLP**

By: s/ Damon C. Elder

Damon C. Elder, WSBA No. 46754

Claire M. Lesikar, WSBA No. 60406

1301 Second Avenue, Suite 3000

Seattle, WA 98101

Phone: (206) 274-6400

Email: [damon.elder@morganlewis.com](mailto:damon.elder@morganlewis.com)

[claire.lesikar@morganlewis.com](mailto:claire.lesikar@morganlewis.com)

*Attorneys for Defendants*